



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/859,682

05/18/2001

Mark Ashby

032005-097

CONFIRMATION NO. 3365

## FORMALITIES LETTER



\*OC00000006333504\*

Robert E. Krebs  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, VA 22313-1404

Date Mailed: 07/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/27/2001 SFELEKE1 00000067 024800 09859682

01 FC:201	370.00 OP
02 FC:202	84.00 OP
03 FC:203	216.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$296.
  - \$216 for 24 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 716.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*CV.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09050602 110601



SECTOR #6

Patent  
Attorney's Docket No. 032005-097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Mark ASHBY, et al.

Application No.: 09/859,682 —

Filed: May 18, 2001

For: DEPTH AND PUNCTURE CONTROL  
FOR SYSTEM FOR HEMOSTASIS OF  
BLOOD VESSEL

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)  
) Group Art Unit: unassigned  
)  
) Examiner: unassigned  
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TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

**BOX: MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.  
§ 1.53(b) dated July 24, 2001, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the  
surcharge of ☒ \$65.00 (205) [ ] \$130.00 (105) as set forth in 37 C.F.R.  
§ 1.16(e);
- [ ] Note that the inventor(s) identified on the currently filed Combined  
Declaration and Power of Attorney are different than listed on the application  
filing papers.
- [ ] a Request for Refund;
- ☒ a Petition for Extension of Time;
- [ ] a verified English translation of the Application, and the \$130.00 (139) fee as set  
forth in 37 C.F.R. § 1.17(k);
- ☒ an Assignment document and a separate check for the \$40.00 (581) Assignment  
recordation fee;
- [ ] drawings for publication;
- ☒ other Replacement Drawings\_\_\_\_\_;
- ☒ a check in the amount of \$ 716.00 for the fee due for missing parts; and

(05/01)

09/859,682-1,2601

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 032005-097

Application No. 09/859,682

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☐ charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due for missing parts.

☒ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
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(703) 836-6620

By: 

Adam J. Cermak  
Registration No. 40,391

Date: November 26, 2001

